

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,139	05/22/2001	Roy F. Quick	010055B1

CONFIRMATION NO. 1058

23696  
Qualcomm Incorporated  
Patents Department  
5775 Morehouse Drive  
San Diego, CA 92121-1714

## FORMALITIES LETTER



\*OC000000006338688\*

Date Mailed: 07/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

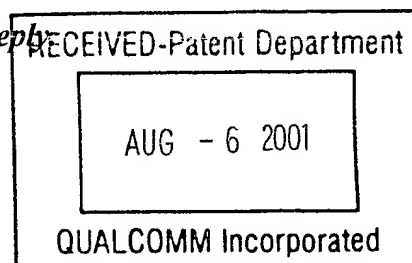
- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/22/2001 BNGUYEN1 00000012 170026 09863139

01 FC:105 130.00 CH

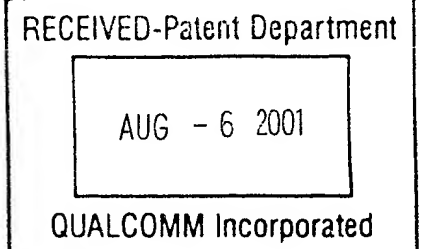
*A copy of this notice **MUST** be returned with the reply*

Customer Service Center



Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



## PATENT

# PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In Re Application  
No. 09/863,139**

**QUICK JR. et al.**

**Filed: 05/22/2001**

**For: LOCAL  
AUTHENTICATION IN  
A COMMUNICATION  
SYSTEM**

) **Group No. 2661**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
UNDER 37 CFR §1.53 (f)**

Asst. Commissioner of Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

**Dear Assistant Commissioner:**

In response to the Notice to File Missing Parts of Application - Filing Date  
Granted under 37 CFR § 1.53(d), which was mailed on 07/25/2001, enclosed are:

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on:

08/15/2001

(Date of Deposit)

Carola Emelius-Swartz

(Name of the Person Making Deposit)

(Signature)

08/15/2001

(Date of Signature)

1. Office's copy of Form PTO-1533 as required;
2. Executed Declaration/Power of Attorney;
3. Return postcard;
4. Substitute Drawings in Compliance with 37 CFR 1.84.

Please charge Deposit Account No. 17 - 0026 of QUALCOMM Incorporated in the amount of \$130.00. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to said Deposit Account No. 17 - 0026.

The Commissioner is further hereby authorized to charge to said Deposit Account No. 17 - 0026, pursuant to 37 CFR 1.25(b), any fee whatsoever which may become properly due or payable, as set forth in 37 CFR 1.16 to 37 CFR 1.18 inclusive, for the entire pendency of this application without specific additional authorization.

A duplicate copy of this letter is enclosed.

Respectfully submitted,

Dated: 08/15/2001

By: 

Kyong H. Macek, Reg. No. 42,977  
(858) 651-5797

QUALCOMM Incorporated  
Attn: Patent Department  
5775 Morehouse Drive  
San Diego, California 92121-1714  
Telephone: (858) 651-1179  
Facsimile: (858) 658-2502



PATENT

Receipt  
\$13

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application  
No. 09/863,139

QUICK JR. et al.

Filed: 05/22/2001

For: LOCAL  
AUTHENTICATION IN  
A COMMUNICATION  
SYSTEM

) Group No. 2661

REQUEST FOR CORRECTION OF OFFICIAL FILING RECEIPT

Asst. Commissioner of Patents  
Application Processing Services Branch  
Washington, D.C. 20231

Dear Sir:

Upon review of the Notice to File Missing Parts, errors on the Official Filing Receipt have been discovered. It is requested that these errors be corrected and a new Filing Receipt be issued.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Asst. Commissioner of Patents, Washington, D.C. 20231, on:

08/15/2001

(Date of Deposit)

Carola Emelius-Swartz

(Name of the Person Making Deposit)

(Signature)

08/15/2001

(Date of Signature)

Attorney Docket No.: 010055B1  
Customer No.: 23696



PATENT

In the Applicant(s) section of the Filing Receipt, Applicants' names are listed as follows: "Roy F. Quick and Gregory Rose". Applicants' correct names are: - Roy F. Quick Jr. and Gregory G. Rose- -. Please update the Filing Receipt to indicate the correct names of Applicants.

A copy of the incorrect Filing Receipt with the correction in red ink is enclosed for your convenience.

Dated: 08/15/2001

Respectfully submitted,

By: 

**Kyong H. Macek**  
Attorney for Applicants  
Registration No. 42,977

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San Diego, California 92121-1714  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/863,139	05/22/2001	2661	870	010055B1	5	17	5

CONFIRMATION NO. 1058

23696  
Qualcomm Incorporated  
Patents Department  
5775 Morehouse Drive  
San Diego, CA 92121-1714

## FILING RECEIPT



\*OC000000006338687\*

Date Mailed: 07/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Roy F. Quick, San Diego, CA;  
Gregory Rose, Mortlake, AUSTRALIA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/755,660 01/05/2001

## Foreign Applications

If Required, Foreign Filing License Granted 07/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Local Authentication in a communication system

## Preliminary Class

370

RECEIVED